



LBNF/DUNE  
and the Hunt  
for Leptonic  
CP Violation

Mary Bishai  
for the DUNE  
Collaboration

Introduction  
CP in  $\nu$  SM  
CPV and other  
New Physics

Current  
Experimental  
Landscape  
T2K  
NO $\nu$ A

Future  
Experimental  
Landscape  
DUNE

Conclusion

# LBNF/DUNE and the Hunt for Leptonic CP Violation

FPCP 2016, 6-9 June 2016, Caltech

**Mary Bishai**  
for the **DUNE Collaboration**

**June 1, 2016**



# Outline

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  - CP in  $\nu$  SM
  - CPV and other New Physics
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# Oscillations in the 3-flavor $\nu$ SM

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**In the  $\nu$  3-flavor model matter/anti-matter asymmetries in neutrinos are best probed using  $\nu_\mu/\bar{\nu}_\mu \rightarrow \nu_e/\bar{\nu}_e$  oscillations (or vice versa).** With

terms up to second order in  $\alpha \equiv \Delta m_{21}^2/\Delta m_{31}^2$  and  $\sin^2 \theta_{13}$ , (M. Freund. Phys. Rev. D 64, 053003):

$$P(\nu_\mu \rightarrow \nu_e) \cong P(\nu_e \rightarrow \nu_\mu) \cong \underbrace{P_0}_{\theta_{13}} + \underbrace{P_{\sin \delta}}_{\text{CP violating}} + P_{\cos \delta} + \underbrace{P_3}_{\text{solar oscillation}}$$

where **for oscillations in vacuum:**

$$P_0 = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(\Delta),$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2(\Delta),$$

$$P_{\sin \delta} = \alpha \, 8J_{cp} \sin^3(\Delta),$$

$$P_{\cos \delta} = \alpha \, 8J_{cp} \cot \delta_{CP} \cos \Delta \sin^2(\Delta),$$

and where

$$\Delta = \Delta m_{31}^2 L / 4E$$

# Oscillations in the 3-flavor $\nu$ SM

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$$P(\nu_\mu \rightarrow \nu_e) \cong P(\nu_e \rightarrow \nu_\mu) \cong \underbrace{P_0}_{\theta_{13}} + \underbrace{P_{\sin \delta}}_{\text{CP violating}} + P_{\cos \delta} + \underbrace{P_3}_{\text{solar oscillation}}$$

where **for oscillations in matter with constant density:**

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

$$P_{\sin \delta} = \alpha \frac{8J_{cp}}{A(1-A)} \sin \Delta \sin(A\Delta) \sin[(1-A)\Delta],$$

$$P_{\cos \delta} = \alpha \frac{8J_{cp} \cot \delta_{CP}}{A(1-A)} \cos \Delta \sin(A\Delta) \sin[(1-A)\Delta],$$

and where

$$\Delta = \Delta m_{31}^2 L / 4E \text{ and } A = \sqrt{3} G_F N_e 2E / \Delta m_{31}^2.$$

$$\text{For } \bar{\nu}_\mu \rightarrow \bar{\nu}_e, P_{\sin \delta} \rightarrow -P_{\sin \delta}$$





# 3 Flavor Oscillations with CPV and Matter Effects

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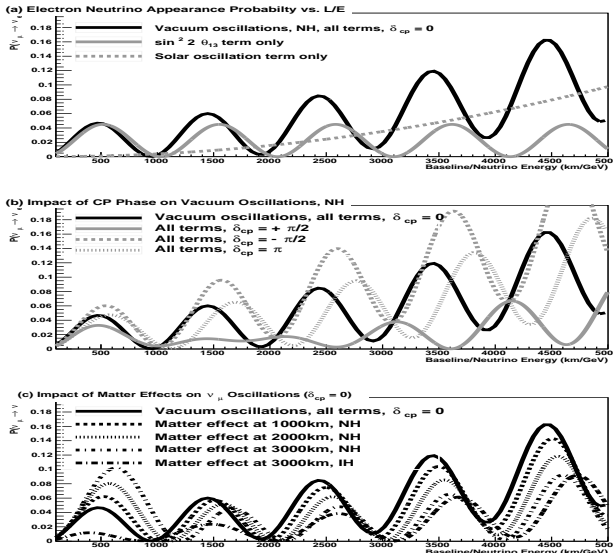
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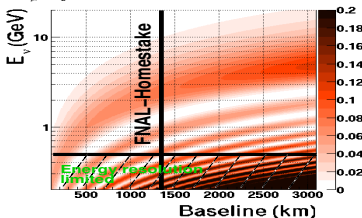


# $P(\nu_\mu \rightarrow \nu_e)$ vs L and E ( $\delta_{cp} = 0$ )

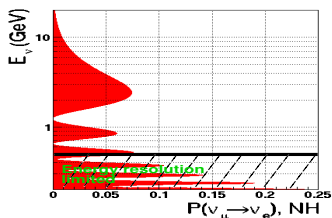
The  $\nu_\mu \rightarrow \nu_e$  oscillation probability maxima occur at

$$\frac{L \text{ (km)}}{E_n \text{ (GeV)}} = \left(\frac{\pi}{2}\right) \frac{(2n-1)}{1.27 \times \Delta m_{31}^2 \text{ (eV}^2\text{)}} \approx (2n-1) \times \frac{515 \text{ km}}{\text{GeV}}$$

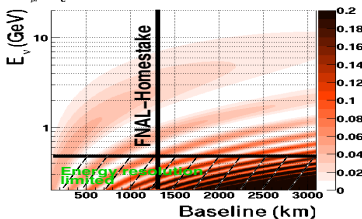
$P(\nu_\mu \rightarrow \nu_e)$ , NH



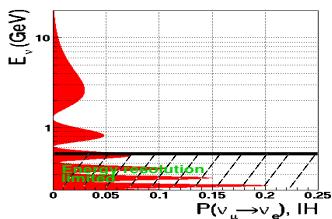
At 1300km



$P(\nu_\mu \rightarrow \nu_e)$ , IH



At 1300km



The charge-parity (CP) asymmetry is defined as

$$\mathcal{A}_{cp} = \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}$$

$$\mathcal{A}_{cp} \sim \frac{\cos \theta_{23} \sin 2\theta_{12} \sin \delta}{\sin \theta_{23} \sin \theta_{13}} \left( \frac{\Delta m_{21}^2 L}{4 E_\nu} \right) + \text{matter effects}$$

W. Marciano, Z. Parsa, Nucl.Phys.Proc.Suppl. 221 (2011)

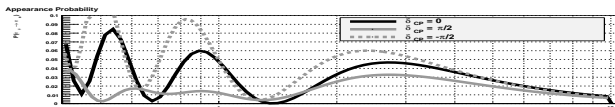
The CP phase  $\delta_{cp}$  is unknown. CP is violated when  $\delta_{cp} \neq 0, \pi$

## The 4 most important things to know about $\nu$ CPV

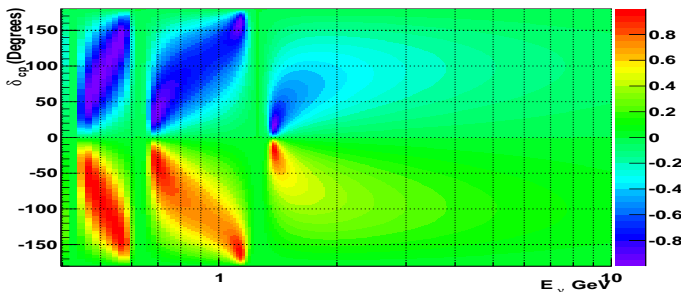
- $\mathcal{A}_{cp} \propto 1/\sin \theta_{13} \Rightarrow$  Large  $\theta_{13}$  makes CPV searches HARDER.
- $\mathcal{A}_{cp} \propto 1/\tan \theta_{23} \Rightarrow$  Large  $\sin(\theta_{23}) =$  smaller CPV (octant!)
- $\mathcal{A}_{cp} \propto 1/E_\nu \Rightarrow$  CP asymmetries are larger at lower energies
- $\mathcal{A}_{cp} \propto L \Rightarrow$  CP asymmetries are larger at longer baselines

# CP Asymmetry vs $E_\nu$ and $\delta_{cp}$

NH, Vacuum, 1300km



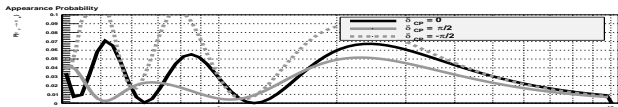
Asymmetry at 1300 km ( $\sin^2 2\theta_{13} = 0.09$ ,  $\sin^2 2\theta_{23} = 1.00$ ,  $\rho=0.0 \text{ gm/cm}^3$ , NH)



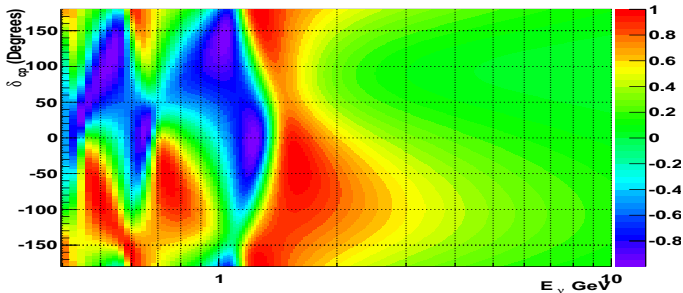
Asymmetries are larger near **minima**  
BUT, events appear at the maxima!

# CP Asymmetry vs $E_\nu$ and $\delta_{cp}$

NH,  $\rho = 2.8 \text{ gm/cm}^3$ , 1300km



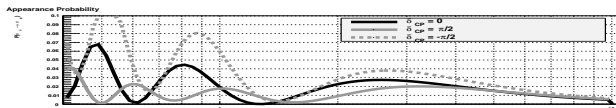
Asymmetry at 1300 km ( $\sin^2 2\theta_{12} = 0.09$ ,  $\sin^2 2\theta_{23} = 1.00$ ,  $\rho = 2.8 \text{ gm/cm}^3$ , NH)



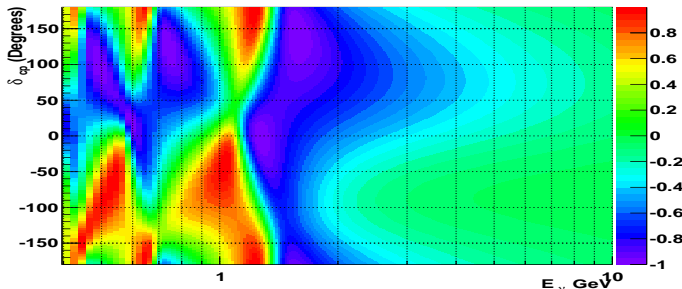
Asymmetries are larger near **minima**  
BUT, events appear at the maxima!

# CP Asymmetry vs $E_\nu$ and $\delta_{cp}$

IH,  $\rho = 2.8 \text{ gm/cm}^3$ , 1300km



Asymmetry at 1300 km ( $\sin^2 2\theta_{13} = 0.09$ ,  $\sin^2 2\theta_{23} = 1.00$ ,  $\rho = 2.8 \text{ gm/cm}^3$ , IH)



Asymmetries are larger near **minima**  
BUT, events appear at the maxima!

# Impact of Sterile Neutrinos on $\nu$ Oscillations

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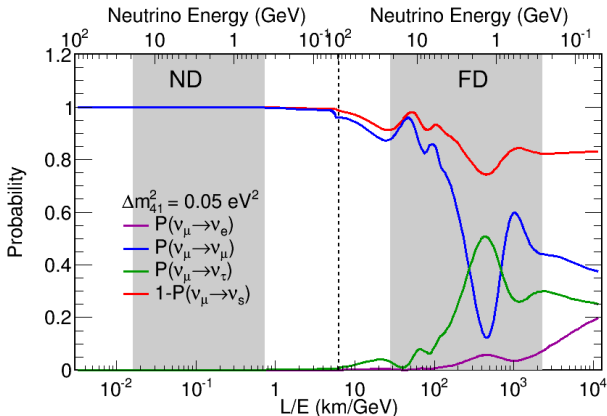
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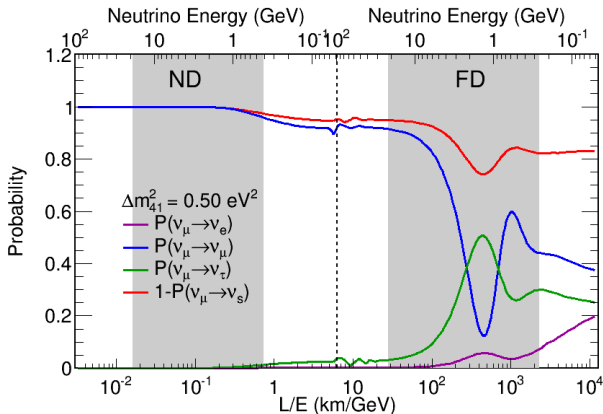
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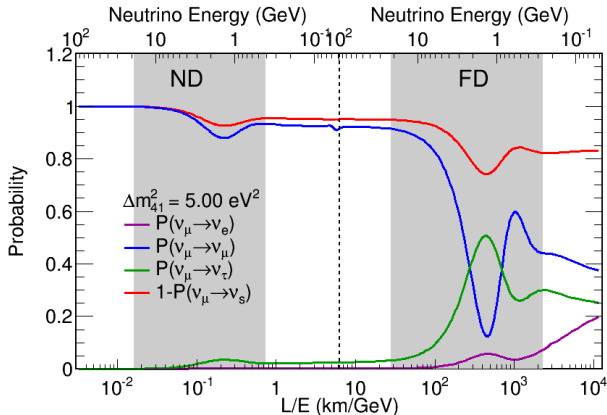
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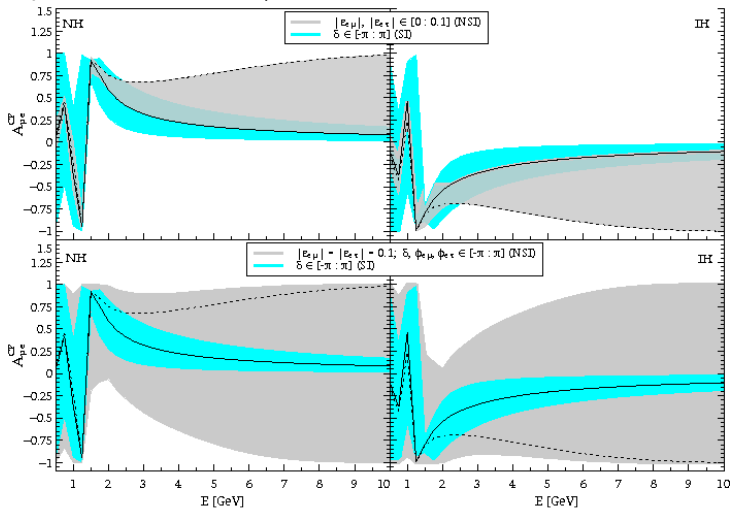
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## NSI could also impact CPV interpretation in long-baseline (M. Masud, A.

Chatterjee, P. Mehta arXiv:1510.08261):





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# Results from Current $\nu_\mu \rightarrow \nu_e$ Long-Baseline Experiments and Near Future



# $\nu_\mu \rightarrow \nu_e$ Event Rates - Various Experiments.

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arXiv:1307.7335, for 50 kton.years\* of exposure. No detector effects

Experiment	Baseline	Super Beams		
		$\nu_\mu \rightarrow \nu_\mu$	$\nu_\mu \rightarrow \nu_\tau$	$\nu_\mu \rightarrow \nu_e$
T2K 30 GeV, 750 kW $9 \times 10^{20}$ POT/year	295km (off-axis)	900	$< 1$	40 - 70
MINOS LE 120 GeV, 700 kW $6 \times 10^{20}$ POT/year	735km	11,000	115	230-340
NO $\nu$ A 120 GeV, 700 kW $6 \times 10^{20}$ POT/year	810km (off-axis)	1500	10	120 - 200
DUNE LE $^\dagger$ 80 GeV, 1.2MW $1.5 \times 10^{21}$ POT/year	1,300km	4300	160	350 - 600
DUNE ME $^\dagger$ 80 GeV, 1.2MW $1.5 \times 10^{21}$ POT/year	1,300km	12,000	690	290 - 430

\* Facility duty factor taken into consideration

$^\dagger$  2014 CDR Reference Design with NuMI style focusing

Even with maximal CP, event rate is a  $\leq 10 \nu_\mu \rightarrow \nu_e$  per kT.MW.yr

Experimental challenge for CPV measurements: **STATISTICS!**

# The T2K Experiment (295km baseline)

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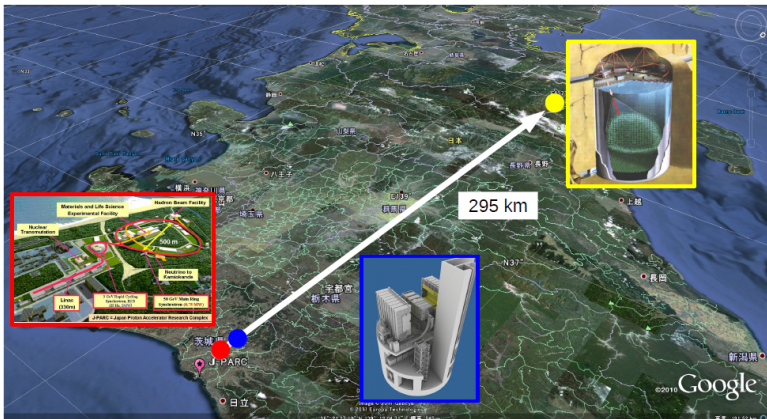
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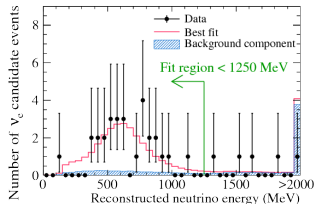
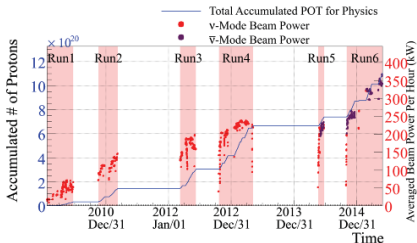
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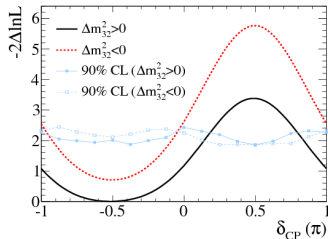
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**With  $6.57 \times 10^{20}$  POT in  $\nu$  mode  
Observe 28  $\nu_e$  with  $4.9 \pm 0.6$  back-  
ground**

**With  $4.04 \times 10^{20}$  POT in  $\bar{\nu}$  mode  
Observe 3  $\nu_e$  candidates. Expect  
1.51 to 1.77 background.**



**Favors maximal CP at NH**

# The NO $\nu$ A Experiment (810km Baseline)

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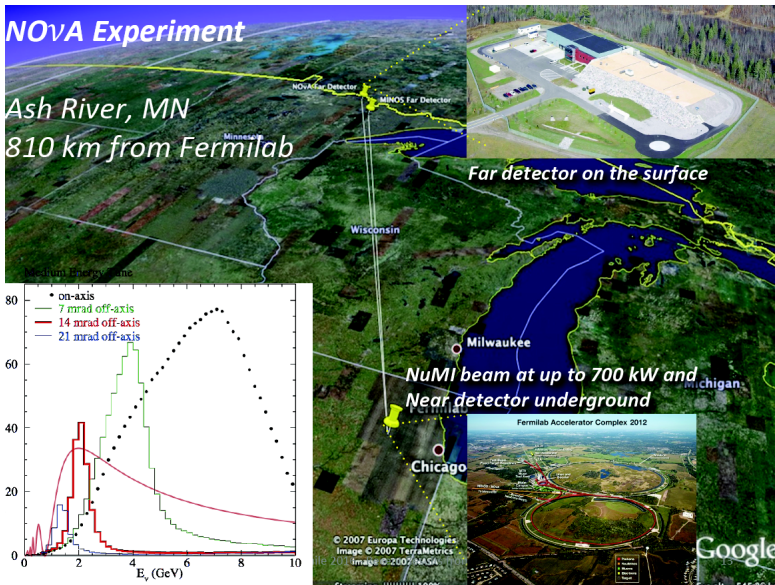
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# First Results from NO $\nu$ A

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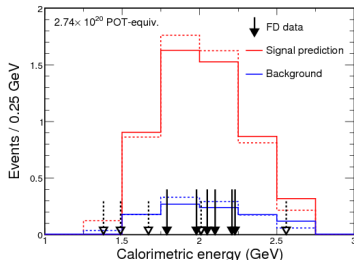
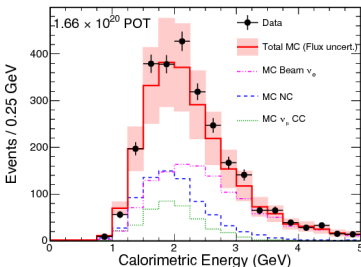
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arXiv:1601.05022

With  $2.74 \times 10^{20}$  protons-on-target:



6 LID candidates  
( $3.3 \sigma$  signal of  $\nu_e$  appearance)  
11 LEM candidates  
( $5.5 \sigma$  signal of  $\nu_e$  appearance)

LID analysis disfavors  $0.1\pi < \delta_{CP} < 0.5\pi$  in the IH at 90% C.L.



# T2K+NO $\nu$ A Prospects

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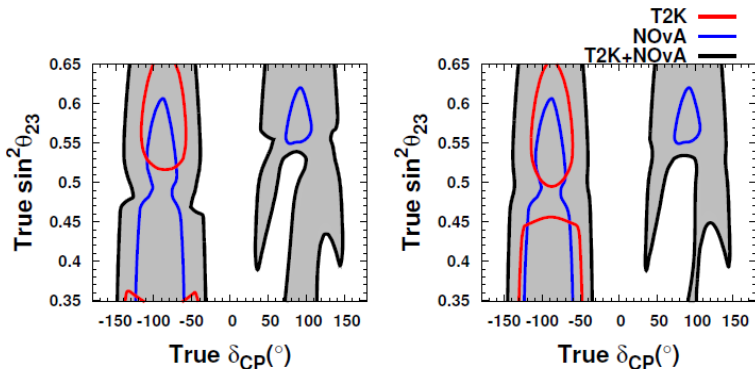
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**T2K ( $7.8 \times 10^{21}$  pot ) and NO $\nu$ A ( $1.8 \times 10^{21}$  pot) combined,**  
**exclusion of  $\delta_{cp} = 0$  at 90% C.L. (K. Abe et. al. arXiv:1409.7469):**



# Future Experimental Landscape: LBNF/DUNE

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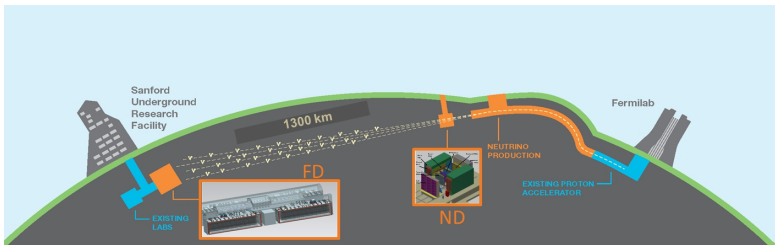
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- Long baseline experiment with a **wide-band** beam and a **1300km baseline** from Fermilab to the Sanford Underground Research Facility in Lead, SD.
- Highly capable multi-purpose Near Detector at Fermilab
- 40 kton fiducial (80 kton total) Liquid Argon Time Projection Chambers (LArTPC) at SURF. Both single and dual-phase LArTPC options under consideration.



# The DUNE Collaboration

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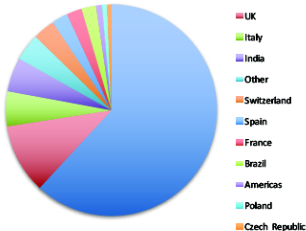
Conclusion

Formed in Jan 2015 from combination of the US-based LBNE and LBNO experiments.



**856 Collaborators**

**from 149 Institutions in  
29 Nations**



Armenia, Belgium, Brazil,  
Bulgaria, Canada, Colombia,  
Czech Republic, Finland,  
France, Greece, India, Iran,  
Italy, Japan, Madagascar,  
Mexico, Netherlands, Peru,  
Poland, Romania, Russia,  
Spain, Sweden, Switzerland,  
Turkey, UK, USA, Ukraine

# Fermilab Accelerator upgrades for DUNE

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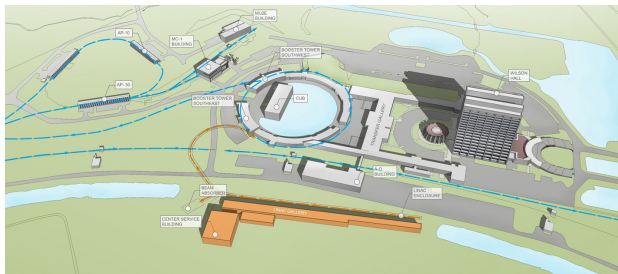
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## Planned upgrades to the Fermilab complex to increase proton intensity:



**PIP-II replaces upstream portion of linac feeding into 8 GeV Booster:**  
**1.03 MW at 60 GeV**  
**1.07 MW at 80 GeV**  
**1.20 MW at 120 GeV**

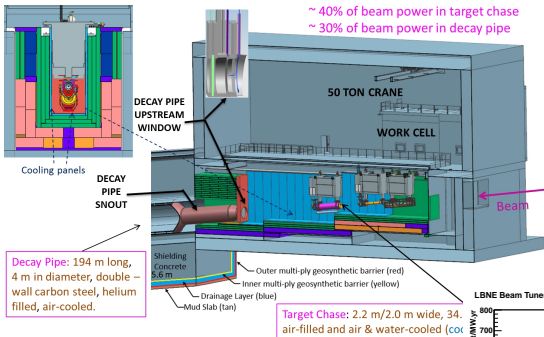
**Further upgrades (PIP-III) would replace booster with Rapid Cycling Synchrotron (RCS) or SC Linac. Currently in R&D stage.**

**Ready by 2025**

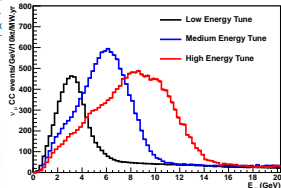
**$\geq 2.0$  MW at 60 GeV**  
 **$\geq 2.3$  MW at 120 GeV**

# The LBNF Beamline for DUNE

## Advanced conceptual design *tunable wide-band* NuMI-style focusing:



LBNE Beam Tunes



Optimized focusing design with 3 horns ~ 30% more flux for CPV

# The DUNE Near Detector Reference Design

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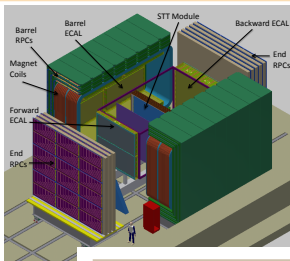
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**Reference design is the Fine Grained Tracker based on the “LBNE-India Detailed Project Report (DPR)” submitted to DAE, India in 2012. Alternative/additional designs under consideration by DUNE**



Performance Metric	Value
Vertex resolution	0.1 mm
Angular resolution	2 mrad
$E_e$ resolution	5%
$E_\mu$ resolution	5%
$\nu_\mu/\bar{\nu}_\mu$ ID	Yes
$\nu_e/\bar{\nu}_e$ ID	Yes
$NC\pi^0/CCe$ rejection	0.1%
$NC\gamma/CCe$ rejection	0.2%
$NC\mu/CCe$ rejection	0.01%

Parameter	Value
STT detector volume	$3 \times 3 \times 7.04 \text{ m}^3$
STT detector mass	8 tons
Number of straws in STT	123,904
Inner magnetic volume	$4.5 \times 4.5 \times 8.0 \text{ m}^3$
Targets	1.27-cm thick argon ( $\sim 50$ kg), water and others
Transition radiation radiators	2.5 cm thick
ECAL $X_0$	10 barrel, 10 backward, 18 forward
Number of scintillator bars in ECAL	32,320
Dipole magnet	2.4-MW power; 60-cm steel thickness
Magnetic field and uniformity	0.4 T; $< 2\%$ variation over inner volume
MuID configuration	32 RPC planes interspersed between 20-cm thick layers of steel



# Measuring the $\nu$ Flux with the DUNE ND

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Technique	Flavor	Absolute normalization	Relative flux $\Phi(E_\nu)$	Near Detector requirements
NC Scattering $\nu_\mu e^- \rightarrow \nu_\mu e^-$	$\nu_\mu$	2.5%	$\sim 5\%$	$e^-$ ID $\theta_e$ Resolution $e^-/e^+$ Separation
Inverse muon decay $\nu_\mu e^- \rightarrow \mu^- \nu_e$	$\nu_\mu$	3%		$\mu^-$ ID $\theta_\mu$ Resolution 2-Track ( $\mu+X$ ) Resolution $\mu$ energy scale
CC QE $\nu_\mu n \rightarrow \mu^- p$ $Q^2 \rightarrow 0$	$\nu_\mu$	3 – 5%	5 – 10%	$D$ target $p$ Angular resolution $p$ energy resolution Back-Subtraction
CC QE $\bar{\nu}_\mu p \rightarrow \mu^+ n$ $Q^2 \rightarrow 0$	$\bar{\nu}_\mu$	5%	10%	$H$ target Back-Subtraction
Low- $\nu_0$	$\nu_\mu$		2.0%	$\mu^-$ vs $\mu^+$ $E_\mu$ -Scale Low- $E_{Had}$ Resolution
Low- $\nu_0$	$\bar{\nu}_\mu$		2.0%	$\mu^-$ vs $\mu^+$ $E_\mu$ -Scale Low- $E_{Had}$ Resolution
Low- $\nu_0$	$\nu_e/\bar{\nu}_e$	1-3%	2.0%	$e^-/e^+$ Separation ( $K_L^0$ )
CC	$\nu_e/\nu_\mu$	<1%	$\sim 2\%$	$e^-$ ID & $\mu^-$ ID $p_e/p_\mu$ Resolution
CC	$\bar{\nu}_e/\bar{\nu}_\mu$	<1%	$\sim 2\%$	$e^+$ ID & $\mu^+$ ID $p_e/p_\mu$ Resolution
Low- $\nu_0$ /CohPi	$\bar{\nu}_\mu/\nu_\mu$	$\sim 2\%$	$\sim 2\%$	$\mu^+$ ID & $\mu^-$ ID $p_\mu$ Resolution $E_{Had}$ Resolution



# Near to Far Extrapolation

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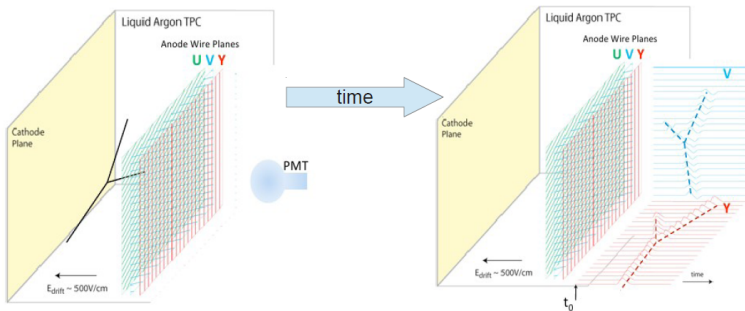
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## Liquid Argon TPCs: Single Phase



## Liquid Argon TPCs: Dual Phase

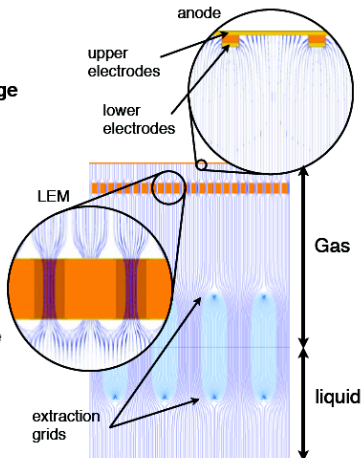
4.) Charge collection on a 2D anode readout  
(symmetric unipolar signals with two  
orthogonal views)

3.) Charge multiplication in the holes of the Large  
Electron Multiplier (LEM)



2.) Drift electrons are efficiently emitted into the  
gas phase

1.) Ionization electrons drift towards the liquid  
argon surface



# The DUNE Far Detector LArTPC

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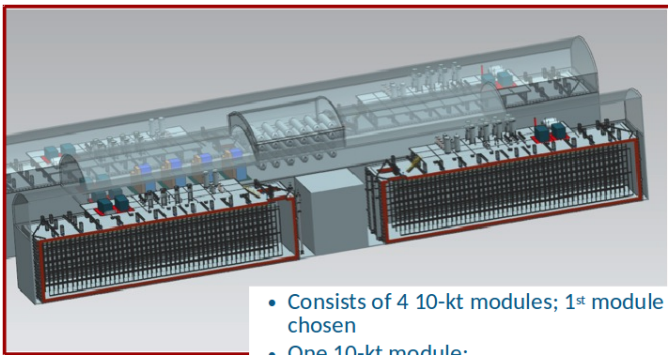
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- Consists of 4 10-kt modules; 1<sup>st</sup> module design chosen
- One 10-kt module:
  - Active volume 12m x 14.5m x 58m
  - 150 total APAs; 384,000 sense wires
- Each APA: 2.3m x 6m; 2560 sense wires
- 3 sense wire planes; wire pitch:  $\sim 5$  mm
- Drift field: 500 V/cm
- Maximum drift distance: 3.6 m ( $\sim 2$  ms)



# Simulation/Reconstruction in a Single Phase LArTPC (<http://www.phy.bnl.gov/wire-cell>)

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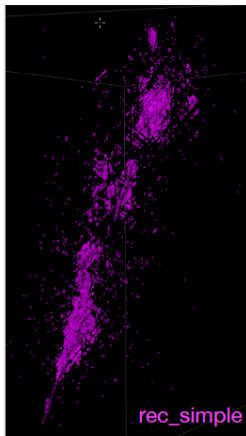
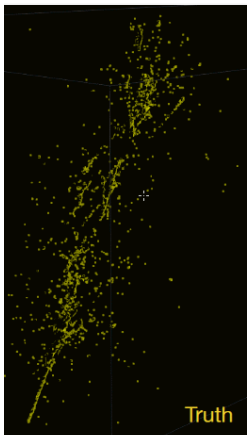
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## Example: a 1.5 GeV electron



Use only geometry  
information



Use geometry and  
charge information



# DUNE Event Spectra

Exposure: 150 kT.MW.yr (equal  $\nu/\bar{\nu}$ ) 1MW.yr =  $1 \times 10^{21}$

p.o.t at 120 GeV. ( $\sin^2 2\theta_{13} = 0.085$ ,  $\sin^2 \theta_{23} = 0.45$ ,  $\delta m_{31}^2 = 2.46 \times 10^{-3} \text{ eV}^2$ )

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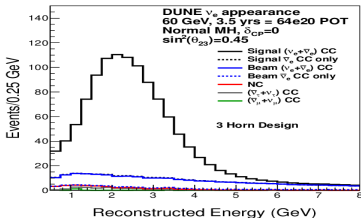
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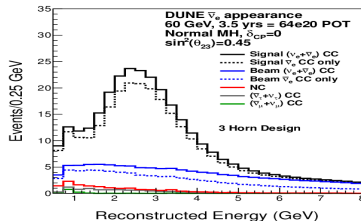
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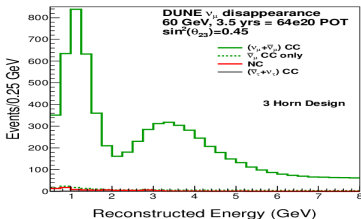
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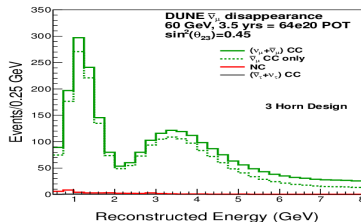
930  $\nu_e$ , 5  $\bar{\nu}_e$ , 204  $\nu_e^{\text{Beam}}$ , 17 NC, 19  $\nu_\tau$ , 3  $\nu_\mu$



32  $\nu_e$ , 154  $\bar{\nu}_e$ , 98  $\nu_e^{\text{Beam}}$ , 7 NC, 8  $\nu_\tau$ , 1  $\nu_\mu$



7929  $\nu_\mu$ , 511  $\bar{\nu}_\mu$ , 105 bkg



2639  $\bar{\nu}_\mu$ , 1424  $\nu_\mu$ , 59 bkg

Simultaneous fit to all four samples to determine osc. params



# DUNE Event Spectra

Exposure: 150 kT.MW.yr (equal  $\nu/\bar{\nu}$ ) 1MW.yr =  $1 \times 10^{21}$

p.o.t at 120 GeV. ( $\sin^2 2\theta_{13} = 0.085$ ,  $\sin^2 \theta_{23} = 0.45$ ,  $\delta m_{31}^2 = 2.46 \times 10^{-3} \text{ eV}^2$ )

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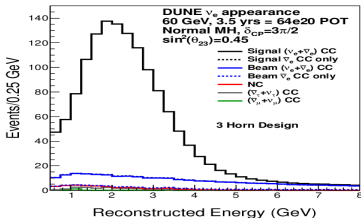
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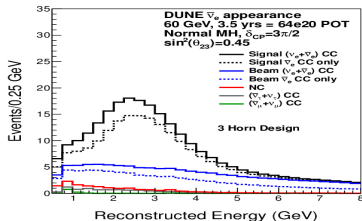
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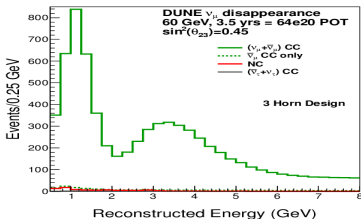
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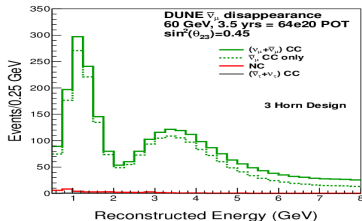
1171  $\nu_e$ , 3  $\bar{\nu}_e$ , 204  $\nu_e^{\text{Beam}}$ , 17 NC, 19  $\nu_\tau$ , 3  $\nu_\mu$



39  $\nu_e$ , 94  $\bar{\nu}_e$ , 98  $\nu_e^{\text{Beam}}$ , 7 NC, 8  $\nu_\tau$ , 1  $\nu_\mu$



7929  $\nu_\mu$ , 511  $\bar{\nu}_\mu$ , 105 bkg

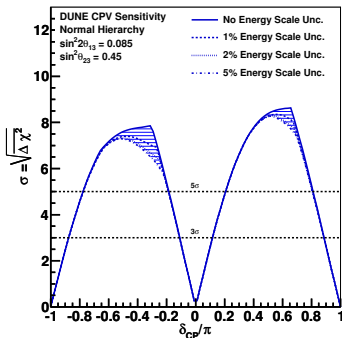


2639  $\bar{\nu}_\mu$ , 1424  $\nu_\mu$ , 59 bkg

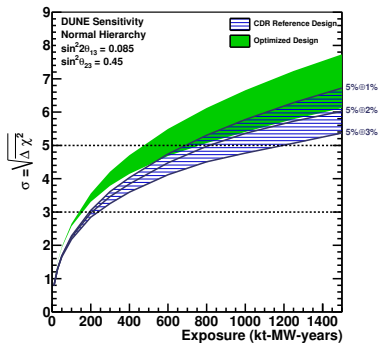
Simultaneous fit to all four samples to determine osc. params

Source of Uncertainty	MINOS $\nu_\mu$	T2K $\nu_\mu$	Goal for DUNE $\nu_\mu$
Beam Flux	0.3%	3.2%	2%
Interaction Model	2.7%	5.3%	~2%
Energy Scale ( $\nu_\mu$ )	3.5%	Included above	Included in 5% $\nu_\mu$ uncertainty
Energy Scale ( $\nu_e$ )	2.7%	2.5% includes all FD effects	2%
Fiducial Volume	2.4%	1%	1%
Total Uncertainty	5.7%	6.8%	3.6%
Used in DUNE sensitivity calculations:			5% @ 2%

CP Violation Sensitivity



50% CP Violation Sensitivity





# DUNE Physics Milestones (NH)

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Physics milestone	Exposure kt · MW · year (reference beam)	Exposure kt · MW · year (optimized beam)
$1^\circ \theta_{23}$ resolution ( $\theta_{23} = 42^\circ$ )	70	45
CPV at $3\sigma$ ( $\delta_{\text{CP}} = +\pi/2$ )	70	60
CPV at $3\sigma$ ( $\delta_{\text{CP}} = -\pi/2$ )	160	100
CPV at $5\sigma$ ( $\delta_{\text{CP}} = +\pi/2$ )	280	210
MH at $5\sigma$ (worst point)	400	230
$10^\circ$ resolution ( $\delta_{\text{CP}} = 0$ )	450	290
CPV at $5\sigma$ ( $\delta_{\text{CP}} = -\pi/2$ )	525	320
CPV at $5\sigma$ 50% of $\delta_{\text{CP}}$	810	550
Reactor $\theta_{13}$ resolution ( $\sin^2 2\theta_{13} = 0.084 \pm 0.003$ )	1200	850
CPV at $3\sigma$ 75% of $\delta_{\text{CP}}$	1320	850

**Even if CP is maximally violated  $\rightarrow$  several years to  $5\sigma$  discovery**



# CP Phase Resolution vs Exposure

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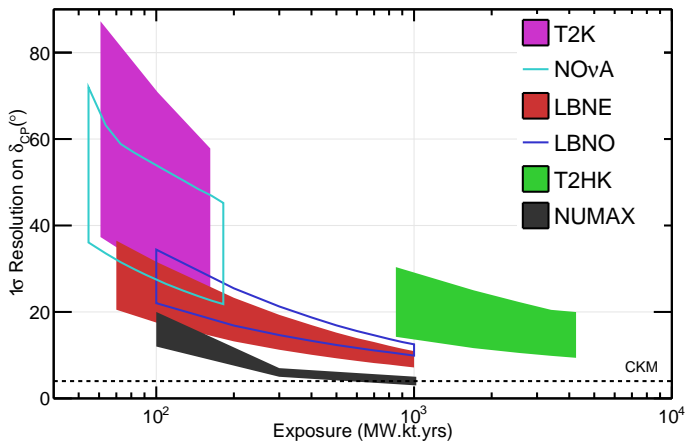
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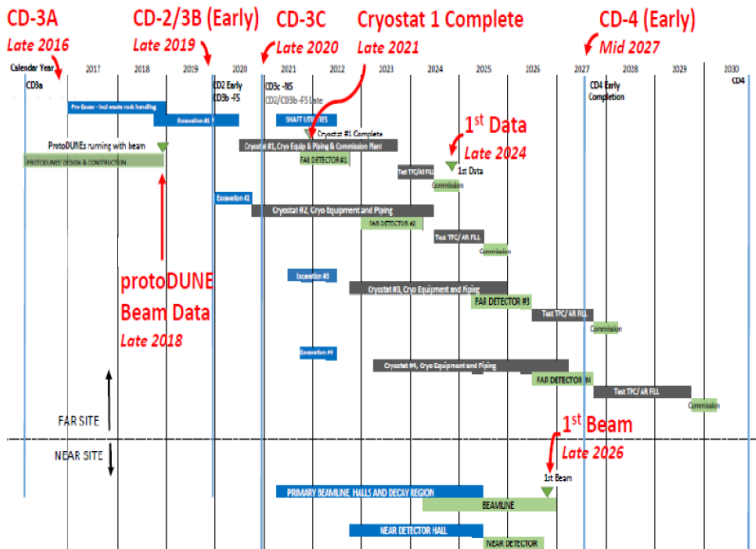


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# Summary and Conclusions

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- **Neutrino CP violation is best measured by studying  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations over long-baselines.**
- **Experiments need to separate CP asymmetries from asymmetries induced by the expected MSW effect as well as new physics effects such as sterile neutrinos (if they exist) and NSI.**
- **The current generation of experiments after a decade of running could rule out  $\delta_{CP} = 0$  at 90% C.L. over a large fraction of  $\delta_{CP} - \theta_{23}$  space. Combined results from running NO $\nu$ A and T2K at maximum power could produce evidence for CPV at  $3\sigma$  if it is maximal.**
- **BUT**
  - Only future more capable LB expts can establish CPV in  $\nu$  and disentangle from other effects.**



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# THANK YOU